



ASCEND 2.0

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AGENDA

- **Conversion: Project Funding & Project Budget**
 - Expenditure Account Data Cleanup
 - Budget Conversion Process
 - Data Conversion Reminders

BACKGROUND

- Each expenditure account within the conversion scope will become a **project** in PPM.
- Projects will be a sub-grouping of the Award and will be used as a vehicle to **separate budget and expenses** within an award.

This award has 3 expenditure accounts on the ledger. This will result in 3 projects being created in Oracle PPM.

Account/CC	Sub	Curr Month Financial	Fiscal Year		Inception to Date	
			Appropriation	Financial	Appropriation	Financial
220950 LOCAL GOVERNMENT GRANTS & CONTRACTS						
	20		1,445,193.23	251,609.34	1,574,390.00	380,806.11
220950		0.00	1,445,193.23	251,609.34	1,574,390.00	380,806.11
1	00			32,749.34	17,302.25	50,051.59
	01	200.00		98,376.00	32,330.68	130,706.68
	03	35.20	4,635.91	3,238.67	18,768.95	17,371.71
	06	245.80		54,027.42	23,248.60	77,276.02
	07			550.00	3,625.00	4,175.00
	9H	125.06		49,124.83	23,566.27	72,691.10
		606.06	4,635.91	238,066.26	118,841.75	352,272.10
2	00	8,618.25	21,627.76	8,618.25	21,627.76	8,618.25
	01	7,797.00	352,505.36	7,797.00	352,505.36	7,797.00
	03	7,457.35	190,753.75	48,285.02	190,753.75	48,285.02
	06	8,664.35	194,303.69	9,972.76	194,303.69	9,972.76
	07	3,075.00	340,469.00	38,437.00	340,469.00	38,437.00
	9H	9,259.12	287,116.68	29,408.61	287,116.68	29,408.61
		44,871.07	1,386,776.24	142,518.64	1,386,776.24	142,518.64
3	01	13,708.68	28,233.72	63,223.47	36,131.97	71,121.72
	03	215.32	575.69	1,281.75	744.32	1,450.38
	06	4,814.12	13,874.05	25,859.67	17,704.67	29,690.29
	9H	4,871.92	11,097.62	23,494.94	14,191.05	26,588.37
		23,610.04	53,781.08	113,859.83	68,772.01	128,850.76
Fund Balance		0.00	0.00	0.00	0.00	0.00
Revenue		0.00	1,445,193.23	251,609.34	1,574,390.00	380,806.11
Expenditure		69,087.17	1,445,193.23	494,444.73	1,574,390.00	623,641.50
TOTAL		(69,087.17)	0.00	(242,835.39)	0.00	(242,835.39)

PROJECT FUNDING AND PROJECT BUDGET

Project Funding is the total amount allocated to the project.

- Projects are required to have a positive, non-zero funding amount.
- Project funding will be derived from the appropriation of the expenditure account.

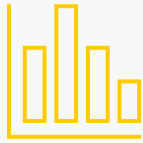
Project Budget is the breakdown of the project funding into budget categories.

- Project budgets should be a positive amount.
- Project budgets will be derived from the amount budgeted per sub code.

Account/CC	Sub	Curr Month Financial	Fiscal Year		Inception to Date		
			Appropriation	Financial	Appropriation	Financial	
220950	LOCAL GOVERNMENT GRANTS & CONTRACTS						
	20		1,445,193.23	251,609.34	1,574,390.00	380,806.11	
220950		0.00	1,445,193.23	251,609.34	1,574,390.00	380,806.11	
	00				17,302.25	50,051.59	
	01	200.00		38,370.00	32,330.68	130,706.68	
	03	35.20	4,635.91	3,238.67	18,768.95	17,371.71	
	06	245.80		54,027.42	23,248.60	77,276.02	
	07			550.00	3,625.00	4,175.00	
	9H	125.06			23,566.27	72,691.10	
		606.06	4,635.91		118,841.75	352,272.10	

EXPENDITURE ACCOUNT CLEANUP

- To convert project funding and budgets as accurately and efficiently as possible, **data cleanup** in the current financial system is needed.



- Total number of projects to be converted: 15,637
- Total number of projects with negative or zero funding: 630*
- Total number of projects with negative sub code appropriations: 1,059

Data as of 4/5/24

**This includes projects for clinical trial awards that do not yet have an award budget appropriated in the financial system. Clinical trial projects are being addressed separately by the Ascend Grants Team.*

EXPENDITURE ACCOUNTS WITH NEGATIVE OR ZERO FUNDING

Expenditure accounts with negative or zero funding will **fail** conversion to Oracle PPM.

Required Action

- Departments should take prompt action to reallocate the award budget via a Transfer of Funds (TOF).
- The “Account Summary by Dept” report from the Online Financial System Reports (OFSR) can be used to identify C&G accounts requiring cleanup.
- The Ascend Grants Team is requesting this population to be cleaned up by **4/30/2024**. This will allow the corrected data to be included in the next conversion round taking place May 2024.

Account/CC	Sub	Curr Month Financial	Fiscal Year		Inception to Date	
			Appropriation	Financial	Appropriation	Financial
229000 FEDERAL PHS GRANTS						
	20		34,882.22	34,743.34	40,828.00	40,689.12
229000		0.00	34,882.22	34,743.34	40,828.00	40,689.12
	00		53,189.92	1,414.09	56,018.00	4,242.17
	02		135,719.00		135,719.00	
	03		(285,591.04)	14,620.27	(285,584.00)	14,627.31
	05		3,000.00		3,000.00	
	06		73,645.72	276.34	74,622.00	1,252.62
	08					
	9H		(11,220.38)	9,134.02	(9,086.00)	11,268.40
		0.00	(31,256.78)	25,444.72	(25,311.00)	31,390.50

Account/CC	Sub	Curr Month Financial	Fiscal Year		Inception to Date	
			Appropriation	Financial	Appropriation	Financial
258000 PRIVATE GRANTS						
	20				750,000.00	750,000.00
258000		0.00	0.00	0.00	750,000.00	750,000.00
	03	16,780.00		16,780.00		16,780.00
	07	7,537.50		7,537.50		7,537.50
		24,317.50	0.00	24,317.50	0.00	24,317.50

EXPENDITURE ACCOUNTS WITH NEGATIVE SUB CODE APPROPRIATIONS

This scenario is likely a result of an incorrect TOF and should be corrected for data conversion to PPM.

Required Action

- Departments to reallocate the award budget via a Transfer of Funds (TOF).
- The “Account Summary by Account” report from the Online Financial System Reports (OFSR) can be used to identify C&G accounts requiring cleanup.
- The Ascend Grants Team is requesting this population to be cleaned up by **6/30/2024**. This will align with department’s review of funds for fiscal close.

Account/CC	Sub	Curr Month Financial	Fiscal Year		Inception to Date	
			Appropriation	Financial	Appropriation	Financial
	02		(57,446.00)		(57,446.00)	
	03		(35,293.00)		(35,293.00)	
	05				766.09	766.09
	06		(30,745.00)		(30,745.00)	
	08		161,012.00		161,012.00	
	9H		9,757.00		9,956.18	199.18
		0.00	47,285.00	0.00	48,250.27	965.27
Fund Balance		0.00	0.00	0.00	0.00	0.00
Revenue		0.00	0.00	0.00	0.00	0.00
Expenditure		0.00	47,285.00	0.00	48,250.27	965.27
TOTAL		0.00	(47,285.00)	0.00	(48,250.27)	(965.27)

HOW WILL PROJECT BUDGETS BE CONVERTED?

1:1 Mapping:

If a sub code is generally used for a single purpose (e.g., 01 - Salaries-Staff), then it will map to the corresponding PPM budget category.

1-to-Many Mapping:

If a sub code is used for a variety of purposes (e.g., 07 – Special Items), then map that sub code to the “Other Direct Costs” category.

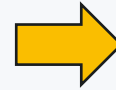
Current Financial System Sub Codes	Oracle PPM Budget Category
00 – Salaries-Academic	Salaries and Wages
01 – Salaries-Staff	
02 – General Assistance	
03 – Supplies and Expense	Materials and Supplies
04 – Equipment and Facilities	Equipment
06 – Employee Benefits	Employee Benefits
9H – Overhead Expense	Facilities and Administration Costs
05 – Special Items	Other Direct Costs
07 – Special Items	
08 – Unallocated Funds	
09 – Recharges	

*In the current financial system, accounts are budgeted at the **sub code level**. There are no detailed budgets in the financial system at the **sub-object code level**.*

CONVERTED PROJECT BUDGET - EXAMPLE

Financial System

Account/CC	Sub	Curr Month Financial	Fiscal Year		Inception to Date	
			Appropriation	Financial	Appropriation	Financial
44						
	02				3,194.40	3,194.40
	03	97.50	111,453.39	500.76	120,093.42	9,140.79
	06				1,712.18	1,712.18
	08					
	9H	14.63	16,717.96	75.12	18,750.00	2,107.16
44		112.13	128,171.35	575.88	143,750.00	16,154.53



Oracle PPM

Task	Resource	Budget Amount
▶ 44		143,750.00
▶ 1	Salary and Wages	3,194.40
▶ 1	Materials and Supplies	120,093.42
▶ 1	Employee Benefits	1,712.18
▶ 1	Facilities and Administration Co...	18,750.00

Note: The Ascend Grants Team will provide further guidance on rebudgeting converted data in PPM.

FUNDS WITHOUT A HOME EXPENDITURE ACCOUNT

- In PPM, at least one project under each award should belong to the Award-Owning Organization (Home Department) and Principal Investigator. This project will be designated as the **Primary Project** in PPM.
 - The Primary Project will be essential for STIP allocation, revenue recognition for amount-based billing, and other future business processes.
- **Required Action:**
 - Ensure that C&G funds have at least one expenditure account belonging to the Home Department and Home PI. This account must have a positive appropriation to be successfully converted to Oracle PPM.
 - Expenditure accounts without a cost center will automatically be associated to the Home PI as part of the conversion process.

DATA CONVERSION REMINDERS

- **Recap: Expenditure Account Cleanup**
 - Correct expenditure accounts with negative or zero funding by **4/30/2024**.
 - Correct expenditure accounts with negative sub code appropriations by **6/30/2024**.
 - Resolve funds without an expenditure account belonging to the Home Dept & PI by **6/30/2024**.
 - The Ascend Grants Team will monitor these populations and reach out to Home Departments as needed.

- **Refer to the October 2023 RAF for additional conversion cleanup:**
 - Closing Expired Awards: Closing out funds in the current financial system will be more efficient than closing out in Oracle due to the learning curve of the new system. **Your future self will thank you!**

 - Multiple Payment Bases Awards: For new awards with multiple payment bases, ensure that a separate Account/CC is set up for each payment basis and the payment basis is indicated in the AF table. Please continue to indicate the Payment Basis when submitting linkage requests to EFM for Awards with Multiple Payment Bases.

October 2023 RAF – Ascend 2.0 Slides: <https://ora.research.ucla.edu/wp-content/uploads/RAF-2023-10-EFM-ascend-updates.pdf>