



# Extramural Fund Management

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February 9, 2023

# Agenda

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- **Ascend 2.0**
- **Closing expired funds**

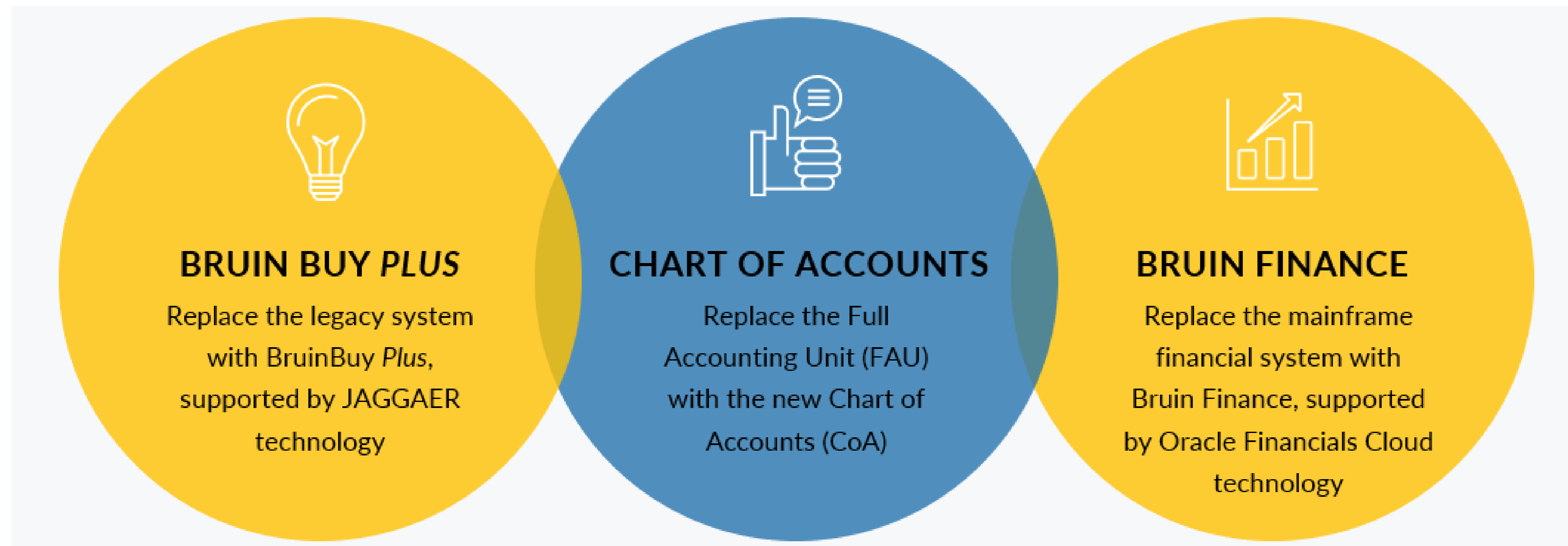
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# Ascend 2.0

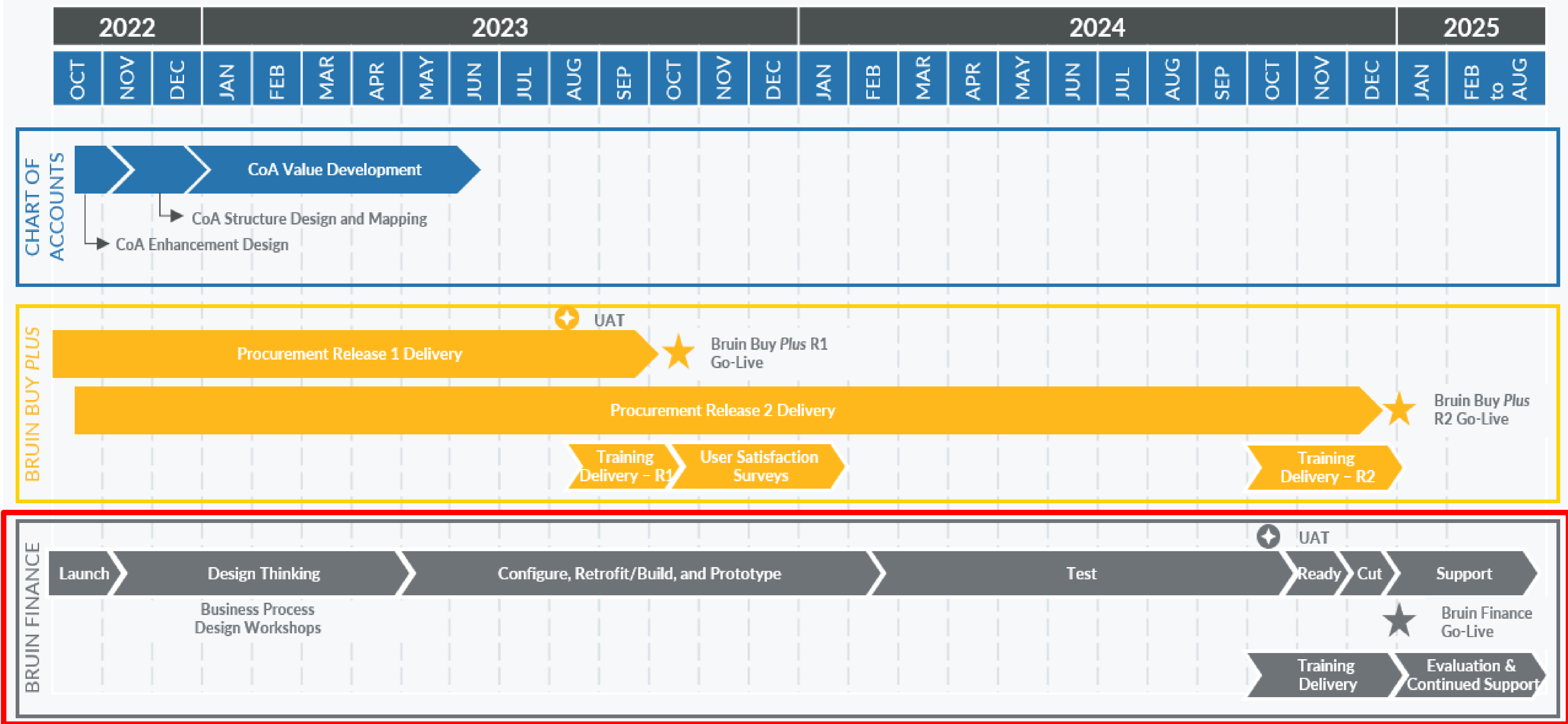
Yoon Lee

# Ascend 2.0

- **January 19, 2023: Announcement of Ascend 2.0 | Financial System Transformation Relaunch from the Ascend 2.0 Executive Sponsors;**
  - Allison Baird James, Interim Vice Chancellor/CFO
  - Michael Beck, Administrative Vice Chancellor
  - Roger Wakimoto, Vice Chancellor, Research & Creative Activities
- **The Ascend 2.0 program is comprised of three major strands of work.**

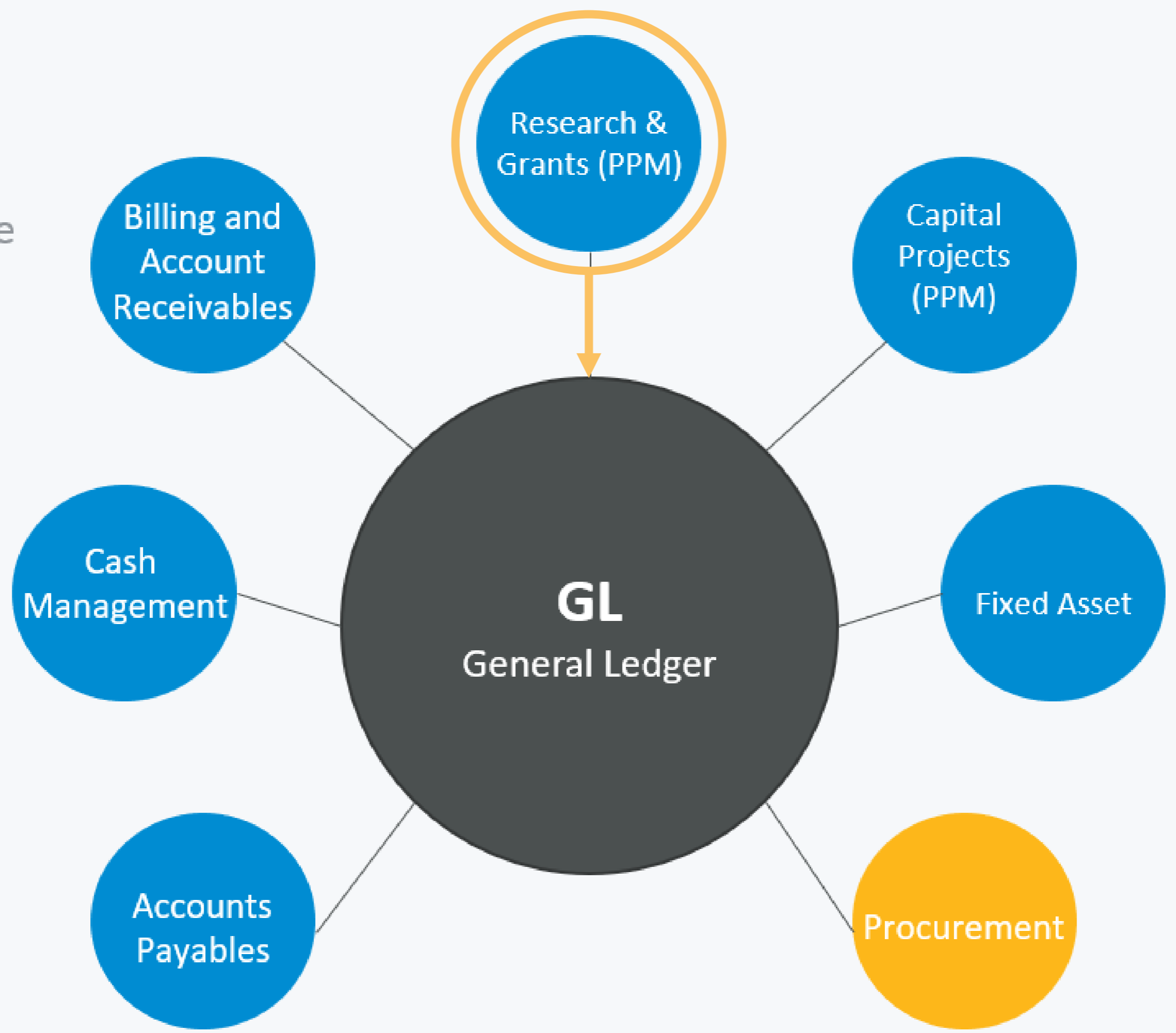


# High Level Program Timeline



# Functional Modules Overview

Our functional leads are aligned with sub-ledger modules. There will also be leads for Planning + Budgeting tools and the CoA/Reporting, which crosses all modules.



Expenditures of all contract and grants will be managed through PPM (Project Portfolio Management)

The Procurement module is the only one dedicated to customizing business processes for JAGGAER software, instead of Oracle Fusion Cloud.

- Oracle modules
- Jaggaer

# Ascend 2.0: Campus Engagement

- **Committee Formation:** The “Four Corners of the University” are meeting as Advisory Committees to help the program build a final product that benefits the greater good of the university. Five Advisory committees have been formed and had the first meeting last month in January.
  - Research
  - Finance
  - Technology Enablement
  - Change management
  - Sustainment



- **Stakeholder Analysis:** The Change Management team conducted a stakeholder analysis to better the UCLA community and the impact on and influence of key stakeholder groups relative to Ascend 2.0. The Team interviewed members of Ascend 2.0 Advisory Committees and other key leaders across the "four corners" of the University (Academic, Non-Academic, Research, and DGSOM).

# Research Engagement

- The program leadership recognizes the importance of supporting research community for successful Ascend 2.0.
- Research is represented in multiple levels across the Ascend 2.0 program team.
  - **Executive Program Sponsors:** Roger Wakimoto, Vice Chancellor for Research
  - **Program Owners:** Marcia Smith, Associate Vice Chancellor for Research and Yoon Lee, EFM Senior Director
  - **Research Workstream Business Analysts:** Katie Cadle (Lead), Stephanie Perez, and Emma Tisovec in EFM
  - **Research Workstream Subject Matter Experts:** 15 + experts in ORA are devoted to provide their expertise to support the success of the program.
- **Campus Engagement**
  - **Subject Matter Experts:** For the processes impacting the department, the research team will reach out to SMEs in the relevant area on campus to listen to the department's perspectives in exploring solutions and solicit feedback on the design of the processes.
  - **Research Advisory Committee:** Ascend 2.0 will rely on guidance, insight and perspective from the advisory committee to design the processes that will work for the research community. It is co-chaired by Marcia and Yoon and includes 11 committee members, including 10 department representatives across campus.
  - **Research Stakeholders Analysis:** The change management team interviewed 28 stakeholders so far in the research (Department fund managers and the management (CAO/CFO/MSO/Directors)) across campus to get insights into our business processes, challenges and opportunities for improvement.

# Research Team: Current Key Activities

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- Confirmed different roles and refined user stories from the Ascend 1.0
- Reviewed and updated 39 business process maps so far from the Ascend 1.0 to reflect changes occurred in the system and business processes.
- Discussed conversion scope and approach for research data and started data mapping for conversion.
- Identified an inventory of reports to develop to support research operation.
- Close to finalize fit-gap analysis.
- Started assessing and reviewing integration documents from Ascend 1.0.

# February Town Hall

- **What:** This Town Hall is an opportunity for stakeholders across the UCLA community to learn about the Ascend 2.0 program, including its mission, guiding principles, and benefits; program leadership and governance; and ways to engage with the program and share feedback. The event will culminate with a live Q&A session, during which members of program leadership and Subject Matter Experts will answer stakeholder questions.
- **When:** Wednesday, February 15, 2023, from 8:30 AM – 9:30 AM PST
- **Where:** Virtual Webinar
- **Why:** Ascend 2.0 is an ambitious, multi-year initiative to transform the landscape of UCLA's financial systems. This Town Hall is an opportunity to understand how the program is structured, what is changing, and how to engage with and prepare for the implementation.

**REGISTER HERE:**

[https://ucla.zoom.us/webinar/register/W\\_N\\_QwQmuFDNRn-\\_3ON5-N5\\_vw](https://ucla.zoom.us/webinar/register/W_N_QwQmuFDNRn-_3ON5-N5_vw)

**ASCEND 2.0 WEBSITE:** Visit <https://ascend.ucla.edu/> for more information

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# Closing Expired Funds

Yoon Lee

# Closing Expired Funds

- **Ascend 2.0 Conversion of sponsored project funds involves significant volume and complexity.**
- **To support successful conversion, extensive effort will need to be devoted to clean up and enhance then data. More details will be shared in future RAF meetings.**
- **One thing we can do now is to close expired funds to reduce the volume.**
- **As of February 7, 2023, we had 2,513 funds expired 120 days or earlier that have not been closed.**
  - Everyone in EFM is dedicated to close expired funds while maintaining on-time submission of the financial deliverables to the sponsor.
  - EFM needs your help in closing these funds. See resources in the next slide you can use to close funds.

# Closing Expired Funds

- PAMS: A report of expired funds to close

## Expired Fund to Close: Report

**PAMS** UCLA Research Administration Post Award Management System

Worklist | Award Overview | Financial Deliverables | Billing and Reporting | Accounts Receivable | Closeout | **Management Reports** | Administration

Overview | F&A Reconciliation | Reports | ORA Only Reports

**Overview**

**F&A Reconciliation**

[F&A Reconciliation](#)  
This link directs you to the Billing and Reporting tab to review the reconciliation of a fund's indirect costs.

**Reports**

[Expired Funds to Close](#) *click here!*  
This report contains funds that expired 120 days ago or earlier, and have not been closed. This report updates nightly.

[Funds Pending UCPATH Resolution](#)  
This report details which funds cannot be fiscally closed due to UCPATH issues, and what issues are impacting each fund.

**“Expired Funds to Close” Report**

- It contains funds that expired 120 days ago or earlier and have not been closed in the Financial System.
- It is updated nightly.
- It shows the information relevant to closing funds (e.g. fund end date, the final financial deliverable status, AR balance, operating balance, etc.)
- All PAMS users can run the report for the cluster they have access to.

*From RAF  
in February 2021  
(More detailed info is available in  
the slide 12 in Feb 2021 RAF)*

# ORC: Department Dashboard

- ORA Online Resource Center: <https://portal.research.ucla.edu>

**UCLA Research Administration** 10010 **ORA Online Resource Center**

General Statistics Pre-Award Post-Award Compliance

**REPORTS**

- Award Data Reports
  - Current Year Award Stats by Dept, PI
  - Prior Year Data Download by Dept
  - Advanced Search & Snapshot Report
- Proposal Data Reports
  - Current Year Proposal Stats by Dept, PI
  - Prior Year Proposal Data Download by Dept

**LATEST STATISTICS**

- Current FY Awarded-to-Date Dollars and Counts  
**\$1,295,798,095 (5,873)** FY 2021-2022
- Current FY Requested-to-Date Dollars and Counts  
**\$3,749,407,933 (4,475)** FY 2021-2022

**DASHBOARDS**

- Department Dashboard **NEW**

**Expired Funds to Close**

2,490

Only Non-Zero Operating Balance: 1,131

Due to Sponsor in Current FY

- Not Started
- Pending Department Action
- Pending CONT/NCE
- In Progress
- Completed

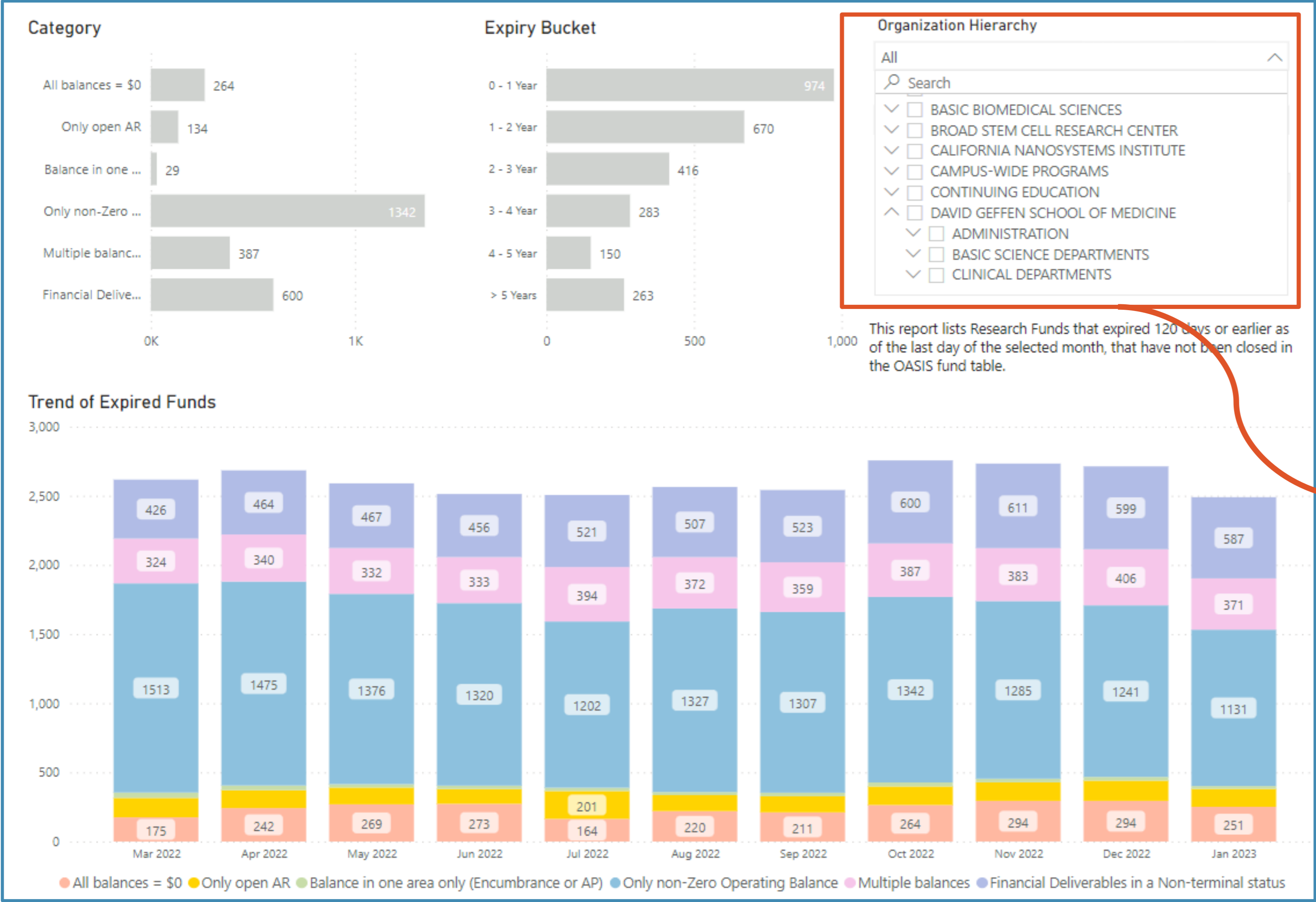
All balances = \$0  
Only Open AR  
Balance in one area only (Encumbrance or AP)  
Only non-Zero Operating Balance  
Multiple Balances  
Financial Deliverables in a Non-terminal Status

The pie chart above shows funds expired 120 days ago or earlier from today. Reconciling expenses in the general ledger to the final expenses to the sponsor and closing the fund is the last step of managing sponsored project funds. Current status shows items that require the department's actions to close funds. Click the chart to see more detailed data by fund expiration dates, organization, and/or sponsor type. A report of expired funds to close can be downloaded from PAMS anytime with detailed financial data.

*Newly added*

Data as of 2/8/23

# ORC: Department Dashboard



## Additional benefits of the ORC Department Dashboard

- Data analytics are available including a trend analysis.
- You can see expired funds and their trends at different organizational levels; at the department, at division, or at school.

# Expired Fund to Close: Status and Summary

Number of funds expired in by school as of 2/07/23	2015 or prior	2016	2017	2018	2019	2020	2021	2022	Total
ADMINISTRATIVE VICE CHANCELLOR						1	2	3	6
ANDERSON SCHOOL OF MANAGEMENT	1					1		4	6
BASIC BIOMEDICAL SCIENCES	2			1	2		3	11	19
BROAD STEM CELL RESEARCH CENTER	1						1	1	3
CALIFORNIA NANOSYSTEMS INSTITUTE		1			1	1	1	1	5
CAMPUS-WIDE PROGRAMS							2		2
DAVID GEFFEN SCHOOL OF MEDICINE	15	8	10	37	98	122	302	446	1,038
DENTISTRY	7		6	3	5	1	6	14	42
EDUCATION & INFO STUDIES	3		2	3	3	14	15	32	72
ENROLLMENT MANAGEMENT				1		1	1	1	4
GRADUATE EDUCATION ORG							1		1
HENRY SAMUELI SCHOOL ENGR & APPL SCIENCE	19	2	21	17	36	40	64	113	312
HERB ALPERT SCHOOL OF MUSIC				1					1
INSTITUTE OF AMERICAN CULTURES			1					6	7
INTERNATIONAL INSTITUTE	2		1				1	5	9
LETTERS AND SCIENCE	19	7	13	32	57	72	95	223	518
LUSKIN SCHOOL OF PUBLIC AFFAIRS	4	3	1	8	9	12	12	28	77
MEDICAL CENTER	2		1		4	1	2		10
SCHOOL OF ARTS AND ARCHITECTURE	2	1	2	2	1	1	2	10	21
SCHOOL OF LAW				2	2	3	5	12	24
SCHOOL OF NURSING		2		1		1	1	6	11
SCHOOL OF THEATER, FILM & TELEVISION	1								1
SEMEL INSTITUTE	4	1	5	13	23	41	70	90	247
UCLA FIELDING SCHOOL OF PUBLIC HEALTH	3	1	2	1	5	8	15	36	71
VC RESEARCH	1								1
VC STUDENT AFFAIRS	2					1	2		5
<b>Total</b>	<b>88</b>	<b>26</b>	<b>65</b>	<b>122</b>	<b>246</b>	<b>321</b>	<b>603</b>	<b>1,042</b>	<b>2,513</b>
<b>As of 2/10/21, Number of funds expired in</b>	<b>261</b>	<b>91</b>	<b>144</b>	<b>296</b>	<b>713</b>	<b>921</b>			<b>2,426</b>
<b>Decrease in the numbe of funds expired in</b>	<b>(173)</b>	<b>(65)</b>	<b>(79)</b>	<b>(174)</b>	<b>(467)</b>	<b>(600)</b>			<b>(1,558)</b>

- Review expenses, encumbrance, and memo-lien on expired funds to reconcile and clean up GL.
- Out of 2,513 funds, now 16 funds are flagged with UCPATH issues after all major mass corrections were completed.
- Progress has been made in closing old expired funds since February 2021. With growing research portfolio, more diligent effort is needed to close funds timely.

# Any Questions?

## Contact Information

EFM Website

<https://efm.research.ucla.edu>

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