
IMPORTANT DETAILS IN OTHER SUPPORT: EXPLORING THE NIH UPDATES

- ❖ What to Include

 - ❖ Recent Updates

 - ❖ Similarities & Differences among Federal Agencies

Research Administration Forum
October 14, 2021



Includes:

- ✓ “All resources made available to researchers or senior key personnel in support of and/or related to all of their research endeavors, regardless of whether or not they have monetary value”
Even if they are not receiving salary/financial support, it can still be a resource
- ✓ “Resources and/or financial support from all foreign and domestic entities”
Not limited to just Domestic, Federal, or an Individual Sponsor
- ✓ “In-kind contributions (such as office/laboratory space, equipment, supplies, or employees or students supported by an outside source)”
Non-financial resources – again, not just support that provides salary or has effort
- ✓ “Consulting that falls outside of an individual’s appointment, separate from institution’s agreement”
Not just research support related to their home institutional (UCLA) appointment
- ✓ “Start-up packages from outside organizations, including foreign entities”
Applicant organization (UCLA) is not included, but Start-up from another entity is

NIH: Updated Format

Other Support – Project/Proposal

*Title:

Major Goals:

*Status of Support:

Project Number:

Name of PD/PI:

*Source of Support:

*Primary Place of Performance:

Project/Proposal Start and End Date: (MM/YYYY) (if available):

* Total Award Amount (including Indirect Costs):

* Person Months (Calendar/Academic/Summer) per budget period.

- Active or Pending
- Group in the order of Active and Pending Support from top to bottom

- Primary location where the project or activity is being executed
- Example: University of California, Los Angeles

- Total dollar amount for all years based on NOA or Proposed Budget
- Subaward/Subproject: Total dollar amount for subaward/project only

- Active: “Level of actual effort (even if unsalaried) for the current budget period and the proposed effort for each remaining budget period”
- Pending: Proposed Person Months for each budget period

“But what if I’m mid-way through the Award?”

Year (YYYY)	Person Months (##.##)
1. [enter year 1]	
2. [enter year 2]	
3. [enter year 3]	
4. [enter year 4]	
5. [enter year 5]	

Year	Person Months
4. 2021	0.6 Academic Months, 1.2 Summer Months
5. 2022	0.0 Academic Months, 1.6 Summer Months

NIH: Updated Format – In-Kind

IN-KIND

*Summary of In-Kind Contribution:

*Status of Support:

*Primary Place of Performance:

Project/Proposal Start and End Date (MM/YYYY) (if available):

*Person Months (Calendar/Academic/Summer) per budget period

Year (YYYY)	Person Months (##.##)
1. [enter year 1]	
2. [enter year 2]	
3. [enter year 3]	
4. [enter year 4]	
5. [enter year 5]	

*Estimated Dollar Value of In-Kind Information:

- ✓ Office/laboratory space, equipment, supplies, data, samples, or employees or students **supported by an outside source.**

Visiting Scientists, Visiting Postdocs, etc.

- ✓ In-kind resources with no associated time commitment, can list zero effort, but must provide the estimated dollar value. **The effort and dollar value cannot both be zero.**
- ✓ Only resources uniquely available to the researcher must be reported. **Core facilities or shared equipment does not need to be included.**
- ✓ Only included on Other Support if the in-kind contribution is **not intended for use on the project being proposed** (if intended for use on proposed project, include in Facilities & Other Resources).

NIH Selected FAQs: In-Kind

- *If a PD/PI or other senior/key Personnel has an exchange student or post-doc working on research activities in their lab who is paid a salary by their home university in a foreign country, does that need to be included in Other Support?*

Yes, since the student or post-doc is performing research activities, the student's work in the lab is a resource available in support of the PD/PI or other senior/key Personnel's research endeavors. It must be reported as an in-kind contribution on Other Support.

- *If a PD/PI or other senior/key personnel is mentoring post-doc or graduate students who are individually funded through an outside institution (e.g. foundation, home university or Federal Agency), does that need to be disclosed as an in-kind resource in Other Support?*

If the post-doc or graduate student is performing research activities in support of the PD/PI or other senior/key personnel's research endeavors, then their support must be reported as an in-kind resource. If the relationship is solely a mentor/mentee arrangement, with no research activities, then it is not a resource, and does not need to be reported.

- *I am a Principal Investigator on an NIH award to a domestic university and have an unpaid appointment at a foreign university. At the foreign site I have access to lab space, research materials, and staff. Should I report this as Other Support?*

Yes. While the researcher is not receiving monetary compensation, the lab space, materials, and staff are resources made available to them in support of and/or related to their research efforts. Other payments, such as travel or living expenses must also be reported.

- *I am key personnel on an NIH grant in the United States. I am collaborating with another scientist in the US, whose experiments have directly benefitted my research. Their experiments were conducted with funds awarded to their institution. Should this be reported as Other Support?*

Yes. Other Support includes domestic research collaborations that directly benefit the researcher's research endeavors.

- *A researcher at my institution has a 9-month appointment. They spend two months at a University outside of the United States during the summer conducting research under a foreign award. Does this count as Other Support?*

Yes. Available resources in support of and/or related to an investigator's research endeavors should be disclosed even if they relate to work that is performed outside of a researcher's appointment period.

NIH: Overlap Statement

****Overlap: After listing all support, summarize for each individual any potential overlap with the active or pending projects and activities, other positions, affiliations, and resources and this application in terms of the science, budget, or an individual's committed effort***

Scientific

- (1) Substantially the same research is proposed in more than one application or is submitted to two or more different funding sources
- (2) A specific research objective and the research design for accomplishing that objective are the same or closely related in two or more applications or awards, regardless of the funding source

Budgetary

- Duplicate or equivalent budgetary items (e.g., equipment, salary) are requested in an application but are already provided for by another source

Commitment

- Occurs when a person's time commitment exceeds 100 percent (i.e., 12 person months), whether or not salary support is requested in the application



NIH Required Supporting Documentation to be included with Other Support:

NIH requires the following:

“Supporting documentation, which includes copies of contracts/agreements specific to senior/key-personnel foreign appointments and/or employment with a foreign institution for all foreign activities and resources that are reported in Other Support. If the contracts/agreements are not in English, recipients must provide translated copies. Supporting Documentation should be provided as a PDF following the Other Support form”

<https://grants.nih.gov/grants/forms/othersupport.htm>

NIH: Key Personnel Signature Required

***Signature: Each PD/PI or other senior key personnel must electronically sign their respective Other Support form prior to submission. This signature certifies that the statements are true, complete and accurate.**








I, PD/PI or other senior/key personnel, certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

*Signature: _____

Date: _____

Other Support page with electronic signature MUST be either printed to PDF or flattened to ensure no systematic errors in submission

Recap of Highlighted NIH Updates

-  Updated Format: <https://grants.nih.gov/grants/forms/othersupport.htm>
-  Provide only Current & Pending Support
-  Report Total Award Amount (including IDC) for the entire Project Period
-  Report Effort for each individual Budget Period
-  Report Primary Place of Performance
-  Supporting Documentation for Foreign Appointments/Contracts/Agreements
-  Requires Signature of Each PD/PI and Senior Key Personnel

Similarities & Differences Among Agencies

NIH, NSF, DOD, DOE

- All Current & Pending resources regardless of having monetary value
- In-Kind support (e.g. office/lab space, equipment, supplies, employees, students, materials, data, etc.)
- Total amount for entire award period, including Indirect Costs
- Effort in Person Months per year

NIH & NSF

- In-Kind Contributions intended for use on the proposed project must be included in the Facilities & Other Resources and Equipment documents. In-Kind Contributions not directly intended for the proposed project must be listed in Other Support
- Both agencies have provided Other Support specific templates/formats

DOD

- Requires Previous Support ending within the past 5 years to be included in Other Support
- List all positions and scientific appointments, both domestic and foreign. Includes titled academic, professional, or institutional appointments whether or not remuneration is received, and whether full-time, part-time, or voluntary (including adjunct, visiting, or honorary)

Any Questions?

OCGA Other Support Website:

<https://ocga.research.ucla.edu/other-support/>

OCGA Other Support Help:

OtherSupportHelp@research.ucla.edu