



# Other Support and Current and Pending Support Website

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August 12, 2021

<https://ocga.research.ucla.edu/other-support/>

## Welcome to OCGA

For important updates on Other Support and Current and Pending Support, see: <https://ocga.research.ucla.edu/other-support>

For important updates and information on COVID-19 impacts related to sponsored research, see: <https://ocga.research.ucla.edu/covid-19>

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# What is Other Support?

## Other Support

Sometimes referred to as "current and pending support" or "active and pending support"—includes **all resources made available to a researcher in support of and/or related to all of their research endeavors, regardless of whether or not they have monetary value and regardless of whether they are based at the institution** the researcher identifies for the current grant. Funding entities use this information in the identification and resolution of potential overlap of support.

## Overlap

**Whether scientific, budgetary, or commitment of an individual's effort greater than 100 percent, is not permitted.** The goals in identifying and eliminating overlap are to ensure that sufficient and appropriate levels of effort are committed to the project; that there is no duplication of funding for scientific aims, specific budgetary items, or an individual's level of effort; and only funds necessary to the conduct of the approved project are included in the award.

# NIH Other Support

- Effective May 25, 2021, the NIH *expects* applicants and recipients to use the updated biosketch and other support formats for applications, Research Performance Progress Reports (RPPR), and Just-in-Time (JIT) Reports. Immediate use of the updated formats is preferred but not required until January 25, 2022.
- Effective January 25, 2022, the NIH will *require* the use of the updated formats for any submissions on or after January 25, 2022 (or anything with a due date on or after that date). Failure to follow the appropriate formats on or after January 25, 2022 may cause the NIH to withdraw applications from or delay consideration of funding.

## Other Support Format Page

The format page has been re-organized to separate funded projects from in-kind contributions.

**Signature block added for PD/PI or Other Senior/Key Personnel to certify the accuracy of the information submitted. Each PD/PI or senior/key personnel must electronically sign their respective Other Support form as a PDF prior to submission.**

# NIH Other Support FAQs

Additional information can be found in [NIH Other Support FAQs](#). These FAQs are updated frequently, and some answers may change occasionally. Bookmarking this page and reviewing it periodically for the latest information is recommended.

Some questions addressed include:

- Is the researcher's signature now required on Other Support submissions?
- What does NIH evaluate when reviewing Other Support submissions?
- Do outside consulting activities have to be reported in Other Support?
- Do in-kind contributions that will be used for the project being proposed need to be included in Other Support?
- How should researchers list materials (e.g., data, samples, etc.) received from external collaborators on Other Support?
- What types of foreign contracts are applicants and recipients required to submit with Other Support?

# NIH Support

## ➤ Who is required to report other support?

- Reporting of Other Support is required for all individuals designated in an application as senior/key personnel—those devoting measurable effort to a project.

## ➤ What must be reported as Other Support?

- Other Support includes all resources made available to a researcher in support of and/or related to all of their research endeavors, regardless of whether or not they have monetary value and regardless of whether they are based at the institution the researcher identifies for the current grant.

## ➤ What does not need to be reported as Other Support?

- Institutional resources, such as core facilities or shared equipment that are made broadly available, Training awards, Prizes and Gifts

## ➤ What are some examples of a "significant element of a project" when making determinations regarding a foreign component?

- Some examples of activities that may be considered a significant element of the project include, but are not limited to:
  - collaborations with investigators at a foreign site anticipated to result in co-authorship
  - use of facilities or instrumentation at a foreign site
  - receipt of financial support or resources from a foreign entity

## ➤ Does a Foreign Component need to be included in Other Support?

- The Foreign component should be part of the proposal, not the Other Support document. The addition of a foreign component to an ongoing NIH grant continues to require NIH prior approval, as outlined in the NIHGPS, [Section 8.1.2](#), Prior Approval Requirements. Principal Investigators should work with their OCGA Team to submit the prior approval request.
- If an activity does not meet the definition of foreign component because all research is being conducted within the United States, but there is a non-U.S. resource that supports the research of an investigator and/or researcher, it must be reported as other support.

# NIH Resources

- [NIH NOT-03-029](#) – Reminder to Applicants about Requirement to Submit Complete and Up-to-Date Other Support Information
- [NIH NOT-OD-21-073](#) – Upcoming Changes to the Biographical Sketch and Other Support Format Page for Due Dates on or after May 25, 2021
- [NOT-OD-21-110](#) – Implementation of Changes to the Biographical Sketch and Other Support Format Page
- [NIH Other Support FAQs](#)
- [NIH COVID-19 FAQs](#)
- [NIH Grants Policy Statement](#) – Prior Approvals
- [NIH 424 Guide](#) – Other Project Information Form
- [NIH Other Support Grants.gov Page](#)
- [NIH Protecting U.S. Biomedical Intellectual Innovation](#)

# NSF Current and Pending Support

All proposed and ongoing projects from all sources (federal, state, local, foreign, public or private foundation, non-profit, industry or commercial, or internal funds), whether provided through the proposing organization or provided directly to the individual.

## Includes:

- All resources made available to an individual in support of and/or related to their research efforts, regardless of whether or not they have monetary value
- All in-kind contributions (e.g. office/laboratory space, equipment, supplies, employees, students) or items or services, whether intended/not intended for use on the project/proposal, and with the expectation of an associated time commitment
  - If the time commitment or dollar value is not readily ascertainable, reasonable estimates should be provided
  - In-kind contributions intended for *use on the project* with no associated time commitment are included in the Facilities, Equipment, and Other Resources section of the proposal and are not replicated in the current and pending support
  - In-kind contributions not intended for use on the project with no associated time commitment are not reported
  - Startup packages from any organization other than the proposing organization
  - If the project or any part of the project was funded previously by a source other than NSF, information must be provided regarding the last period of funding

NSF Current and pending Support is a required for each individual designated as senior personnel as part of the proposal.

# NSF Current and Pending Support

NSF has published a helpful quick reference for [Pre-award and Post-award Disclosures Relating to the Biographical Sketch and Current and Pending Support](#), which outlines additional disclosure requirements for personnel on NSF grants and proposals.

Type of Activity	Biographical Sketch	Current & Pending Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
Undergraduate, graduate, and postdoctoral training, including location, major/area and degree and year	✓					
Academic, professional <sup>4</sup> or institutional appointments, whether or not remuneration is received, and, whether full-time, part-time, or voluntary	✓					
All projects (including this project) currently under consideration from whatever source, and all ongoing projects, irrespective of whether support is provided through the proposing organization, another organization or <i>directly</i> to the individual		✓		✓*	✓◆	
In-kind contributions that support the research activity for use on the project/proposal being proposed			✓			

# NSF Current and Pending Support

Type of Activity	Biographical Sketch	Current & Pending Support	Facilities, Equipment & Other Resources	Project Reports	Post-Award Information Term & Condition	Disclosure Not Required
In-kind contributions not intended for use on the project/proposal being proposed to NSF and have an associated time commitment		✓		✓*	✓◆	
Consulting that is permitted by an individual's appointment and consistent with the proposing organization's "Outside Activities" policies and procedures						✓
Consulting that falls outside of an individual's appointment		✓		✓*	✓◆	
Travel supported/paid by an external entity to attend a conference or workshop						✓
Travel supported/paid by an external entity to perform research activities with an associated time commitment		✓		✓*	✓◆	
Honoraria						✓
Teaching commitments						✓
Startup company based on organization-licensed Intellectual Property (IP)						✓
Startup company based on non-organization-licensed IP		✓		✓*	✓◆	
Unrestricted Gifts						✓
Visiting scholars in labs						✓
Mentoring as part of appointment						✓
Graduate and postdoctoral researchers time on project						✓
Academic Year Salary						✓

# NSF Resources

- [NSF PAPPG 20-1 Current and Pending Support](#)
- [NSF PAPPG \(NSF 20-1\) webinar](#) (recorded February 6, 2020)
- [FAQs](#) regarding Current and Pending Support (updated June 28, 2021)
- [NSF-Approved Formats for Current and Pending Support](#) website
- [SciENcv Guidance on Creating an NSF Current and Pending Support document](#), including step-by-step instructions and screenshots for the two required sections (This is a subsection of the guidance at [SciENcv Help](#).)
- [FAQs on using NSF Fillable PDF](#)
- [NSF Electronic Research Administration Forums](#) website

## DOD Previous/Current/Pending Support Updated 8/5/21

On March 20, 2019, the Department of Defense (DOD) released the [Actions for the Protection of Intellectual Property, Controlled Information, Key Personnel and Critical Technologies](#) memo, stating Current and Pending Support for all key personnel (regardless of source) will be used to "support protection of intellectual property, controlled information, key personnel, and information about critical technologies relevant to national security. Additionally, this information will be used to limit undue influence, including foreign talent programs, by countries that desire to exploit United States' technology with the DOD research, science and technology, and innovation enterprise."

For all previous (award period of performance ending within the past 5 years), current, and pending research support, include the title, time commitments, supporting agency, name and address of the funding agency's procuring Contracting/Grants officer, performance period, level of funding, brief description of the projects goals, and list of the specific aims. If applicable, identify where the proposed project overlaps with other existing and pending research projects. Clearly state if there is no overlap.

### DOD Resources:

- [DOD Memo - Actions for Protection of Intellectual Property, Controlled Information, Key Personnel and Critical Technologies](#)
- [CDMRP General Instructions; Version 604](#)

## NASA Current and Pending Support New 8/5/21

In *Section 2.16 Current and Pending Support* of the [National Aeronautics and Space Administration \(NASA\) Guidebook for Proposers Responding to a Notice of Funding Opportunity \(NOFO\)](#), effective February 15, 2021, it states:

### 2.16 Current and Pending Support

PIs and Co-PIs shall provide all ongoing and pending projects and proposals (regardless of salary support) in which they are performing or will perform any part of the work. Co-Is proposing to spend 10% or more of their time in any given year to the proposed effort shall provide a list of ongoing and pending projects and proposals (regardless of salary support) that require more than 10% of their time in any given year. Proposals do not need to include the current proposal on the list of pending proposals unless it has been submitted in response to another funding opportunity (i.e., NASA or another sponsor).

PIs and Co-PIs also shall list their current and pending support with Chinese universities and other similar institutions or a Chinese-owned company at the prime recipient level and at all subrecipient levels, whether the bilateral involvement is funded or performed under a no exchange of funds arrangement<sup>[3]</sup>. (See paragraph 2.2.1) For those investigators for whom it is required (see above), the proposal shall provide the following information for each current and pending project:

### NASA Resources:

- [National Aeronautics and Space Administration \(NASA\) Guidebook for Proposers Responding to a Notice of Funding Opportunity \(NOFO\)](#)

<sup>[3]</sup> "China or Chinese-owned Company" means the People's Republic of China (PRC), any company owned by the PRC, or any company incorporated under the laws of the PRC. Chinese universities and other similar institutions are considered to be incorporated under the laws of the PRC and, therefore, the funding restrictions apply to grants and cooperative agreements that include bilateral participation, collaboration, or coordination with Chinese universities.

# DOE (Energy) Current and Pending Support

## DOE (Energy) Current and Pending Support

New 8/5/21

An update to the January 31, 2019 guidance was issued October 1, 2020, [FY 2021 Continuation of Solicitation for the Office of Science Financial Assistant Program](#). It states:

The PI and each senior/key person at the prime applicant and any proposed subaward must provide a list of all sponsored activities, awards, and appointments, whether paid or unpaid; provided as a gift with terms or conditions or provided as a gift without terms or conditions; full-time, part-time, or voluntary; faculty, visiting, adjunct, or honorary; cash or in-kind; foreign or domestic; governmental or private-sector; directly supporting the individual's research or indirectly supporting the individual by supporting students, research staff, space, equipment, or other research expenses. All foreign government sponsored talent recruitment programs must be identified in current and pending support.

### APPENDIX 2: CURRENT AND PENDING SUPPORT

Provide a list of all current and pending support for the PI and senior/key personnel, including subawardees, regardless of funding source. Provide the Current and Pending Support as an appendix to your project narrative. Concurrent submission of an application to other organizations for simultaneous consideration will not prejudice its review.

### DOE Resources:

- [Department of Energy Policy on Foreign Government Talent Recruitment Program Memo](#)
- [DOE O 486.1A](#)
- [FAQs for DOE O 486.1](#)
- [FY 2021 Continuation of Solicitation for the Office of Science Financial Assistant Program](#)

# Other Support FAQs and Additional Resources

## Other Support FAQs

⌵ Expand All

⌶ Collapse All

- ▶ Who is the point of contact for questions? (8/5/21) **New**
- ▶ Does UCLA have any additional resources regarding Foreign Influence? (6/23/21)
- ▶ Where and to whom should I send the change of PI or other key personnel requests? (8/5/21) **New**
- ▶ What are different types of overlap? (6/23/21)
- ▶ There has been emphasis on federal sponsors, but what about any requirements by State of California agencies? (8/5/21) **New**

## Additional Resources

- [COGR – Commentary on Disclosing Other Support and Other Resource in Research Funded by the National Institute of health](#)
- [UCLA Foreign Engagement website](#)
- [Foreign Engagement: Disclosures to UCLA and Research Sponsors](#), presentation by Ann Pollack and Marcia Smith, June 2021
- [April 2021 Research Administration Forum \(RAF\) – "Grant Updates,"](#) presentation by Kathy Kawamura

# What should you do if Other Support information changes?

Please contact your OCGA Team:

[Grants and Cooperative Agreements & Contracts and Incoming Subawards Contacts](#)  
[| UCLA Office of Contract and Grant Administration](#)

## Post-Award Disclosure:

### NIH:

- When a recipient organization discovers that a PI or other Senior/Key personnel on an active NIH grant failed to disclose Other Support information outside of Just-in-Time or the RPPR, as applicable, the recipient must submit updated Other Support to the Grants Management Specialist named in the Notice of Award as soon as it becomes known.

### NSF:

- If it is discovered that a PI or a co-PI on an active NSF grant failed to disclose current support or in-kind contribution information as part of the proposal submission process (see [PAPPG Chapter II.C.2.h](#)), the AOR must submit the Current Support information within 30 calendar days of the identification of the undisclosed current support or in-kind contribution through use of the "Other Request" category in the Notification and Request Module in Research.gov. Please work with your [OCGA Team](#) to follow the instructions in the NSF [Award Terms and Conditions - Article 38: Post-Award Disclosure of Current Support and In-Kind Contribution Information](#).

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# Any Questions?

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[OtherSupportHelp@research.ucla.edu](mailto:OtherSupportHelp@research.ucla.edu)

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